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Certification under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to THE COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 on April 7, 2006.

Brian W. Hameder (Reg. No. 45,613)

Name

Signature

DOCKET: CU-4204

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: James Bruce FRANKLIN et al.

Serial No.: 10/534,668

Group Art Unit:

Filed: May 11, 2005

Examiner:

For: A HYBRID LIGHTING SYSTEM

THE COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of the first Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Date: April 7, 2006

Signature of Attorney

Brian W. Hameder

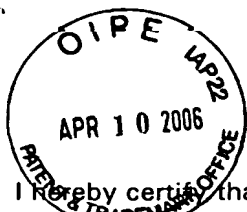
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INFORMATION DISCLOSURE STATEMENT

Applicants submit herewith patents, publications or other information of which the applicants are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose under 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The references submitted herein are listed on PTO-1449 form (modified) enclosed herewith. A copy of each reference listed is being furnished except any duplicate or cumulative patents or publications specified otherwise. Also, if the present application was filed after June 30, 2003, copies of US patents or published applications are not submitted in accordance with the USPTO Rule changes.

A translation of any foreign language reference, if any, is indicated in PTO-1449 form and being submitted herein if it is readily available. Otherwise it should be construed that such translation is not readily available.


Additional comments, if any, on the relevance of each reference listed are provided as follows:

Also submitted herein is a copy of a European Search Report which satisfies the requirement for a translation or concise explanation of any non-English reference cited therein, as provided in MPEP §609 A(3).

The Statement is made on the basis of the information:

_____	supplied by the inventor(s);
<u> X </u>	supplied by an individual associated with the filing and prosecution
_____	of this application (37 CFR 1.56(c)); or
_____	in the attorney's file.

Respectfully submitted,



Signature of Attorney
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Date: April 7, 2006



Form PTO-1449 (Modified)

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	ATTY. DOCKET NO. CU-4204	SERIAL NO. 10/534,668
	APPLICANT James Bruce FRANKLIN et al.	
	FILING DATE May 11, 2005	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT DOCUMENT	ISSUE/PUB DATE	PATENTEE	CLASS	SUB- CLASS	FILING DATE
	US 4,576,436	03/18/86	Daniel et al			
	US 6,059,438	05/09/00	Smith et al			

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

EXAMINER INITIAL	DOCUMENT NUMBER	PUBL. DATE	COUNTRY OR PATENT OFFICE	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
	WO 02/070948	09/12/02	PCT				
	EP 0 341 817	11/15/89	EPO				

OTHER DOCUMENTS (Including Author, Title, Date, Place of publication)

(Form PTO-1449)